

Compact course notes  
**COMBINATORICS AND OPTIMIZATION 331,**  
**WINTER 2011**

*Coding Theory*

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April 6, 2011

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# 1 Introduction

It is always assumed that the source and the receiver are separated by space and/or time.

## 1.1 Fundamentals

**Definition 1.1.1.** An alphabet is a finite set of symbols.

**Definition 1.1.2.** A word is a finite sequence of symbols from a given alphabet.

**Definition 1.1.3.** The length of a word is the number of symbols in the word.

**Definition 1.1.4.** A code is a subset of the set of words in a given alphabet.

**Definition 1.1.5.** A code word is a word in a particular code.

**Definition 1.1.6.** A block code is a code where every code word has the same length.

**Definition 1.1.7.** The length of a block code is the length of any code word in the block code.

**Definition 1.1.8.** An  $[n, M]$ -code is a block code  $C$  of length  $n$  with  $|C| = M$ .

## 1.2 Channels

**Definition 1.2.1.** A channel is a medium over which a symbol is sent.

**Definition 1.2.2.** A symmetric channel is a channel satisfying the following properties:

1. Only symbols from a set alphabet  $A$  are received.
2. No symbols are deleted, inserted, or translated.
3. Random independent probability  $p$  of error for each symbol.

**Definition 1.2.3.** Given an alphabet  $A = \{a_1, a_2, \dots, a_q\}$ , let  $X_i$  be the  $i$ th symbol sent, and let  $Y_i$  be the  $i$ th symbol received. Then a  $q$ -symmetric channel with symbol error probability  $p$  has the property that

$$\text{for all } 1 \leq j, k \leq q, \quad P(Y_i = a_k | X_i = a_j) = \begin{cases} 1 - p & j = k \\ \frac{p}{q-1} & j \neq k \end{cases}$$

**Definition 1.2.4.** A binary symmetric channel is a symmetric channel using only the binary alphabet.

**Definition 1.2.5.** The information rate of an  $[n, M]$ -code defined over an alphabet  $A$  of size  $q$  is  $r = \frac{\log_q(M)}{n}$

**Definition 1.2.6.** Let  $A$  be an alphabet with words  $x, y \in A^n$ . Then the Hamming distance of  $x$  and  $y$  is defined to be the number of positions in which  $x$  and  $y$  differ in symbols. It is denoted by  $d(x, y)$ .

**Theorem 1.2.7.** [PROPERTIES OF HAMMING DISTANCE]

1.  $d(x, y) \geq 0$  and  $d(x, y) = 0 \iff x = y$
2.  $d(x, y) = d(y, x)$
3.  $d(x, y) + d(y, z) \geq d(x, z)$

**Remark 1.2.8.** The main goals of coding theory are:

1. High error correction capability
2. High information rate
3. Efficient encoding and decoding algorithms

### 1.3 Decoding

**Algorithm 1.3.1.** [INCOMPLETE MAXIMUM LIKELIHOOD DECODING (IMLD)]

Suppose  $r \in A^n$  is received.

If  $r \in C$ , accept  $r$ .

If  $r \notin C$ , then:

If there exists a unique  $c_o \in C$  such that  $d(r, c_o) < d(r, c)$  for all  $c \in C, c \neq c_o$ , return  $c_o$ .

Else reject  $r$ .

**Algorithm 1.3.2.** [COMPLETE MAXIMUM LIKELIHOOD DECODING (CMLD)]

Identical to IMLD, except in last step choose a  $c_o$  arbitrarily from  $\{c_o \in C | d(r, c_o) \leq d(r, c) \forall c \in C, c \neq c_o\}$

**Theorem 1.3.3.** For  $r \in A^n$ , IMLD outputs the code word  $c \in C$  with the property that it maximizes  $P(r|c) := P(r \text{ is received} | c \text{ is sent})$ .

**Algorithm 1.3.4.** [MINIMUM ERROR DECODING (MED)]

Suppose  $r \in A^n$  is received.

Return  $c \in C$  such that  $P(c|r) = P(r|c) \frac{P(c)}{P(r)}$  is maximized.

### 1.4 Error detection & correction

**Definition 1.4.1.** A code  $C$  can correct  $e$  errors if the decoder always returns the correct code word whenever  $e$  or fewer errors occur per received code word.

**Theorem 1.4.2.** If  $d(C) = d_o$ , then  $C$  can detect at most  $d_o - 1$  errors per word.

**Theorem 1.4.3.** If  $d(C) = d_o$ , then  $C$  can correct at most  $\left\lfloor \frac{d_o - 1}{2} \right\rfloor$  errors.

**Definition 1.4.4.** The error probability of a code is the probability that an incorrect code word is output by IMLD for a received word.

**Lemma 1.4.5.** Suppose  $C$  is an  $[n, M]$ -code and each code word is sent with equal probability. Write for  $c \in C, w(c) = P(\text{CMLD is wrong} | c \text{ is sent})$ . Then the error probability of  $C$  is given by  $P(C) = \frac{1}{M} \sum_{c \in C} w(c)$ .

**Definition 1.4.6.** Define  $P^*(n, M, p) = \max\{P(C) | C \text{ is an } [n, M]\text{-code}\}$ .

**Definition 1.4.7.** The channel capacity of a binary symmetric channel, for  $p$  the symbol error probability, is given by  $c(p) = 1 + p \log(p) + (1 - p) \log(1 - p)$ .

**Theorem 1.4.8.** Set  $R = \frac{\log(M)}{n}$ . Then for fixed  $R < c(p)$ ,  $\lim_{n \rightarrow \infty} [P^*(n, M, p)] = 0$ .

## 2 Finite fields

### 2.1 Basics

**Definition 2.1.1.** A field is a set  $\mathbb{F}$  closed under the operations  $+: \mathbb{F} \times \mathbb{F} \rightarrow \mathbb{F}$  and  $\cdot: \mathbb{F} \times \mathbb{F} \rightarrow \mathbb{F}$ .

- |  |  |
|--|--|
| 1. $(a + b) + c = a + (b + c)$   | 6. $(a \cdot b) \cdot c = a \cdot (b \cdot c)$   |
| 2. $a + b = b + a$   | 7. $a \cdot b = b \cdot a$   |
| 3. $\exists 0 \in \mathbb{F}$ such that $a + 0 = a$ for all $a \in \mathbb{F}$   | 8. $\exists 1 \in \mathbb{F}$ such that $a \cdot 1 = a$ for all $a \in \mathbb{F}$         |
| 4. $\exists -a \in \mathbb{F} \forall a \in \mathbb{F}$ such that $a + (-a) = 0$ | 9. $\exists a^{-1} \in \mathbb{F} \forall a \in \mathbb{F}$ such that $a \cdot a^{-1} = 1$ |
| 5. $a \cdot (b + c) = a \cdot b + a \cdot c$                                     |  |

**Definition 2.1.2.** The order of a field is defined to be its cardinality:  $\text{ord}(\mathbb{F}) = |\mathbb{F}|$

**Definition 2.1.3.** A field is finite if its order is finite. Else it is infinite.

**Definition 2.1.4.**  $\mathbb{Z}_n$  is a field  $\iff n$  is prime.

**Remark 2.1.5.** A field can also be defined as a commutative ring with inverses and the identity element.

**Definition 2.1.6.** The characteristic of a finite field  $\mathbb{F}$  is defined to be the smallest positive integer  $n$  such that  $\underbrace{1 + 1 + \dots + 1}_{n \text{ times}} = 0$  for  $1$  the multiplicative identity of  $\mathbb{F}$ . If no such  $n$  exists, then the characteristic of  $\mathbb{F}$  is defined to be  $0$ . It is denoted  $\text{char}(\mathbb{F})$ .

**Definition 2.1.7.** For a field  $\mathbb{F}$ ,  $\text{char}(\mathbb{F}) = 0 \iff \mathbb{F}$  is not finite.

**Definition 2.1.8.** For a field  $\mathbb{F}$ , a subfield of  $\mathbb{F}$  is a subset of  $\mathbb{F}$  that is a field itself.

**Definition 2.1.9.** For  $\mathbb{F}$  a field with  $\text{char}(\mathbb{F}) = p$  prime, the set  $\{0, 1 + 1, 1 + 1 + 1, \dots\}$  is termed the prime subfield of  $\mathbb{F}$ .

**Remark 2.1.10.** The prime subfield is the smallest subfield of any field.

## 2.2 Polynomial rings

**Definition 2.2.1.** For any field  $\mathbb{F}$ , the polynomial ring  $\mathbb{F}[x]$  is the set of all polynomials:

$$\mathbb{F}[x] = \left\{ \sum_{k=0}^n a_k x^k \mid a_k \in \mathbb{F}, n \in \mathbb{N} \right\}$$

**Theorem 2.2.2.** For any polynomials  $a(x), b(x) \neq 0 \in \mathbb{F}[x]$ , there exist unique polynomials  $q(x), r(x)$  such that  $a(x) = q(x)b(x) + r(x)$  such that  $\deg(r(x)) < \deg(b(x))$ .

Note that  $\deg(0) = -\infty$  by definition.

**Definition 2.2.3.** Fix  $f(x) \in \mathbb{F}[x]$ . The equivalence class of  $a(x)$  modulo  $f(x)$  is denoted  $[a(x)]$ .

**Definition 2.2.4.** For any  $f(x) \in \mathbb{F}[x]$ , the set  $\mathbb{F}[x]/f(x)$  is the set of all equivalence classes of polynomials in  $\mathbb{F}[x]$  modulo  $f(x)$ .

**Remark 2.2.5.** This set may be defined as  $\mathbb{F}[x]/f(x) = \{r(x) \mid \deg(r) < \deg(f)\}$ , with  $\mathbb{F}[x]/f(x)$  is a field  $\iff f(x)$  is irreducible in  $\mathbb{F}[x]$ .

**Definition 2.2.6.** The polynomial  $f(x)$  is termed irreducible over a field  $\mathbb{F}[x]$  if there exists no factorization  $f(x) = p(x)q(x)$  with  $\deg(p(x)) < \deg(f(x))$  and  $\deg(q(x)) < \deg(f(x))$ .

**Corollary 2.2.7.** If  $f(x) \in \mathbb{Z}[x]/f(x)$  is irreducible, then  $\mathbb{Z}[x]/f(x)$  is a field of order  $p^n$  for  $p = \deg(f(x))$ .

**Theorem 2.2.8.** For every prime  $p$  and every positive integer  $n$ , there exists an irreducible polynomial in  $\mathbb{Z}_p[x]$  of degree  $n$ .

**Corollary 2.2.9.** For every prime  $p$  and every positive integer  $n$ , there exists a finite field of order  $p^n$  with  $p \geq 2$  and  $n > 0$ .

**Theorem 2.2.10.** Any two fields of the same order are isomorphic to each other.

**Definition 2.2.11.** Denote by  $GF(q)$  or  $\mathbb{F}_q$  the unique (up to isomorphism) finite field of order  $q$

**Lemma 2.2.12.** [ANTI-CALCULUS LEMMA]

In a field  $\mathbb{F}$  with  $\text{char}(\mathbb{F}) = p$  prime,  $(x + y)^{p^k} = x^{p^k} + y^{p^k}$  for all  $x, y \in \mathbb{F}$ .

**Theorem 2.2.13.** [FERMAT]

In a finite field  $GF(q)$  for  $q$  prime,  $\alpha^{q-1} = 1$  for all  $\alpha \in \mathbb{F}$ .

**Corollary 2.2.14.** In  $GF(q)$ ,  $\alpha^q = \alpha$  for all  $\alpha \in \mathbb{F}$ .

**Definition 2.2.15.** For any  $\alpha \in GF(q)^*$ , the order of  $\alpha$  is the smallest positive integer  $t = \text{ord}(\alpha)$  such that  $\alpha^t = 1$ , where  $GF(q)^* = GF(q) \setminus \{0\}$ .

**Theorem 2.2.16.** Let  $\alpha \in GF(q)^*$  with  $\text{ord}(\alpha) = t$ . Then  $\alpha^s = 1 \iff s|t$ .

**Definition 2.2.17.** An element  $\alpha$  of  $GF(q)^*$  is termed a generator (or primitive element or primitive root) of  $GF(q)^*$  if  $\text{ord}(\alpha) = q - 1$ .

In this case,  $GF(q)^* = \{\alpha^1, \alpha^2, \dots, \alpha^{q-1}\}$ .

**Theorem 2.2.18.** Every  $GF(q)^*$  contains a generator.

**Theorem 2.2.19.** Let  $u_1, u_2 \in GF(q)$  with  $\text{ord}(u_1) = t_1$  and  $\text{ord}(u_2) = t_2$  and  $\text{gcd}(t_1, t_2) = 1$ . Then  $\text{ord}(u_1 u_2) = t_1 t_2$ .

**Theorem 2.2.20.** Let  $u_1, u_2 \in GF(q)$  with  $\text{ord}(u_1) = t_1$  and  $\text{ord}(u_2) = t_2$ . Then there exists  $u \in GF(q)^*$  with  $\text{ord}(u_1 u_2) = \text{lcm}(t_1 t_2)$ .

## 3 Linear codes

### 3.1 Fundamentals

**Remark 3.1.1.** For  $\mathbb{F} = GF(q)$ , denote the set  $\mathbb{F}^n = \underbrace{\mathbb{F} \times \mathbb{F} \times \dots \times \mathbb{F}}_{n \text{ times}}$  by  $V_n(\mathbb{F})$ .

**Definition 3.1.2.** A linear  $(n, k)$ -code  $C$  is defined to be a subspace  $C \subset V_n(\mathbb{F})$  of dimension  $k$ .

**Remark 3.1.3.** A linear  $(n, k)$ -code  $C$  has the following properties:

- i. The number of code words in  $C$  is  $|C| = q^k$ .
- ii. The distance of  $C$  is  $d(C) = \min\{d(x, y) | x, y \in C, x \neq y\}$ .
- iii. The information rate of  $C$  is  $R = \frac{\log_q(M)}{n} = \frac{k}{n}$

**Definition 3.1.4.** The Hamming weight of a code  $C$  is  $w(C) = \min\{w(x) | x \in C, x \neq 0\}$ .

**Theorem 3.1.5.** For a linear code  $C$ ,  $w(C) = d(C)$ .

**Definition 3.1.6.** Let  $C$  be an  $(n, k)$ -code. A generator matrix for  $C$  is a  $k \times n$  matrix  $G$  with coefficients in  $\mathbb{F}$  whose rows are a basis of  $C$ .

A generator matrix need not be unique.

**Definition 3.1.7.** A generator matrix of the form  $G = [I_k | A]$  is said to be in standard form.

**Definition 3.1.8.** If  $C$  has at least 1 generator matrix in standard form, then  $C$  is a systematic code.

**Remark 3.1.9.** For any linear  $(n, k)$ -code  $C$  with generator matrix  $G$ , the encoding function is:

$$\mathbb{F}^k \rightarrow C, \text{ given by } m = (m_1 \ m_2 \ \dots \ m_k) \in \mathbb{F}^k \mapsto m_1 c_1 + m_2 c_2 + \dots + m_k c_k = mG \in C$$

**Definition 3.1.10.** Two linear codes  $C$  and  $C'$  are equivalent if there exists a permutation of the coordinates of  $V_n(\mathbb{F})$  mapping  $C$  to  $C'$ .

**Theorem 3.1.11.** Every linear code is equivalent to a systematic code.

### 3.2 Dual codes and parity-check matrices

**Definition 3.2.1.** Let  $C$  be an  $(n, k)$ -code over  $\mathbb{F}$ . The dual code (or orthogonal code) of  $C$  is given by

$$C^\perp = \{x \in V_n(\mathbb{F}) \mid x \cdot y = 0 \ \forall y \in C\}$$

Note that  $(C^\perp)^\perp = C$ .

**Theorem 3.2.2.** If  $C$  is an  $(n, k)$ -code, then  $C^\perp$  is an  $(n, n - k)$ -code.

**Theorem 3.2.3.** If  $C$  is a systematic code with generator matrix  $G = [I_k | A] \in M_{k \times n}$ , then a generator matrix for  $C^\perp$  is  $H = [-A^T | I_{n-k}]$ .

**Definition 3.2.4.** A parity-check matrix for  $C$  is a generator matrix for  $C^\perp$ .

**Proposition 3.2.5.**  $x \in C \iff Hx^T = 0$  for  $H$  a parity-check matrix of  $C$ .

**Theorem 3.2.6.** For any  $s \in \mathbb{N}$ ,  $d(C) \geq s \iff$  every subset of  $s - 1$  columns of the parity-check matrix  $H$  for  $C$  is linearly independent.

**Remark 3.2.7.** The following hold for a linear code  $C$  with parity-check matrix  $H$ :

1.  $d(C) \geq 2 \iff$  no column of  $H$  is the zero vector
2.  $d(C) \geq 3 \iff$  no column of  $H$  is a multiple of another column of  $H$

**Definition 3.2.8.** A Hamming code of order  $r$  over  $GF(q)$  is an  $(n, k)$ -code where  $n = \frac{q^r - 1}{q - 1}$  and  $k = n - r$  with a parity-check matrix  $H \in M_{k \times n}(GF(q))$  such that no column of  $H$  is a zero column and no column is a multiple of another column.

Hamming codes are 1-error correcting codes.

**Definition 3.2.9.** Given a transmitted code word  $c$  and received code word  $r$ , the error vector is defined to be  $e = r - c$ .

**Definition 3.2.10.** For every  $r \in V_n(\mathbb{F})$ , the syndrome of  $r$  is  $Hr^T$ .

**Proposition 3.2.11.** For a Hamming code  $C$ , every  $r \in V_n(\mathbb{F})$  is within distance 1 of a code word.

**Definition 3.2.12.** Let  $C$  be an  $[n, m]$ -code of distance  $d$  with  $e = \lfloor \frac{d-1}{2} \rfloor$ . Then  $C$  is termed a perfect code if every  $r \in A^n$  is within distance  $e$  of some  $c \in C$ .

**Definition 3.2.13.** Let  $C$  be an  $(n, k)$ -code. An element  $c$  of a coset of  $C$  (in  $V_n(\mathbb{F})$ ) is termed a coset leader if no other element of the coset has lesser weight than  $c$ .

**Definition 3.2.14.** Let  $C$  be an  $(n, k)$ -code over  $GF(q)$ . A standard array of  $C$  is a  $q^{n-k} \times q^k$  matrix with entries vectors over  $V_n(GF(q))$  with:

1. Each code word appears exactly once in the first row
2. Each row is a coset of  $C$
3. Every element of  $V_n(GF(q))$  appears exactly once in the array
4. In each row, the entry in the first column is a coset leader for its respective row
5.  $A_{ij} = A_{i1} + A_{1j}$  for all  $i, j$

**Theorem 3.2.15.** If  $c$  is a coset leader for  $C$  with  $d(C) = d$  and  $w(c) = \lfloor \frac{d-1}{2} \rfloor$ , then  $c$  is the unique coset leader in its coset.

**Remark 3.2.16.** Some properties of  $C_{24}$ , the code presented below:

1.  $d(C_{24}) = 8$
2.  $C_{24}$  can correct 3 errors

**Definition 3.2.17.** This is the generator matrix for  $C_{24}$ , the Extended binary Golay code:

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

## 4 Cyclic codes

### 4.1 Fundamentals

**Definition 4.1.1.** A subspace  $S$  of  $V_n(\mathbb{F})$  is termed a cyclic subspace if  $(a_0, a_1, \dots, a_{n-1}) \in S$  implies  $(a_{n-1}, a_0, a_1, \dots, a_{n-2}) \in S$ .

**Definition 4.1.2.** A linear code is a cyclic code if it is a cyclic subspace of  $V_n(\mathbb{F})$ .

Equivalently, it is a code that is invariant under cyclic shifts.

**Definition 4.1.3.** A commutative ring is a set  $R$  closed under the two operations  $+$  :  $R \times R \rightarrow R$  and  $\cdot$  :  $R \times R \rightarrow R$  with the following properties:

- i. Addition is associative and commutative
- ii. The identity and inverses exist for addition
- iii. Multiplication is associative and commutative
- iv. The identity exists for multiplication
- v. The distributive law holds

**Definition 4.1.4.** Let  $R$  be a commutative ring. An ideal of  $R$  is a non-empty set  $I \subset R$  with

- i. if  $a, b \in I$ , then  $a + b \in I$
- ii. if  $a \in I$ , then  $-a \in I$
- iii. for all  $a \in I$  and  $r \in R$ ,  $ar \in I$

**Theorem 4.1.5.** A subset  $S \subset V_n(\mathbb{F})$  is a cyclic subspace  $\iff S \subset \mathbb{F}[x]/x^n - 1$  is an ideal of  $\mathbb{F}[x]/x^n - 1$ .

**Theorem 4.1.6.** Every ideal in  $\mathbb{F}[x]$  is of the form  $I = \langle g(x) \rangle$  for  $g(x) \in \mathbb{F}[x]$ .

**Definition 4.1.7.** An ideal  $I$  of  $R$  is termed a principal ideal if  $I = \langle g \rangle$  for  $g \in R$  and  $\langle g \rangle = \{gr \mid r \in R\}$ . Then  $I$  is said to be generated by  $g$ .

**Definition 4.1.8.** For any ideal  $I \subset R = \mathbb{F}[x]/x^n - 1$ , the generator polynomial of  $I$  is the unique monic polynomial  $g(x)$  such that  $I = \langle g(x) \rangle$ .

**Remark 4.1.9.** Let  $\mathbb{F}$  be a field. Then the only ideals of  $\mathbb{F}$  are  $\langle 0 \rangle$  and  $\langle 1 \rangle$ .

## 4.2 Encoding with cyclic codes

**Proposition 4.2.1.** Given a cyclic  $(n, k)$ -code  $C$  over  $\mathbb{F}$  with generator polynomial  $g(x)|x^n - 1$ , a generator matrix for  $C$  is

$$G = [g(x) \quad xg(x) \quad \cdots \quad x^{k-1}g(x)]^T \in M_{k \times n}$$

where the entries are the coefficients of unique powers of  $x$ .

**Remark 4.2.2.** For any message  $m \in V_k(\mathbb{F})$ ,  $mG = m(x)g(x)$ .

**Proposition 4.2.3.** For a cyclic  $(n, k)$ -code  $C$  over  $\mathbb{F}$  with generator polynomial  $g(x)|x^n - 1$ , the parity check matrix of  $C$  is the generator matrix for  $C^\perp$  with generator polynomial  $h(x) = x^n - 1/g(x)$ .

Note that  $C^\perp$  is also cyclic.

**Definition 4.2.4.** For any polynomial  $h(x) = h_0 + h_1x + h_2x^2 + \cdots + h_kx^k$ , define  $h_R(x) := \frac{x^k}{h_0}h\left(\frac{1}{x}\right)$ .

Then  $h_R(x) = (h_k, h_{k-1}, \dots, h_1, h_0, h_{n-1}, h_{n-2}, \dots, h_{k+1})$  and a generator matrix for  $C^\perp$  is

$$H = [h_R(x) \quad xh_R(x) \quad \cdots \quad x^{n-k-1}h_R(x)]^T \in M_{(n-k) \times n}$$

**Proposition 4.2.5.** Let  $C \subset V_n(\mathbb{F}) = \mathbb{F}[x]/x^n - 1$  be a cyclic code with generator polynomial  $g(x) = g_0 + g_1x + \cdots + g_{n-k}x^{n-k}$  and  $g(x)|x^n - 1$ . Then

$$G = \begin{bmatrix} g_0 & g_1 & g_2 & \cdots & g_{n-k} & 0 & \cdots & 0 \\ 0 & g_0 & g_1 & g_2 & \cdots & g_{n-k} & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & & \ddots & \\ 0 & 0 & \cdots & g_0 & g_1 & g_2 & \cdots & g_{n-k} \end{bmatrix}$$

$$H = \begin{bmatrix} h_k & h_{k-1} & \cdots & h_0 & 0 & \cdots & 0 \\ 0 & h_k & h_{k-1} & \cdots & h_0 & 0 & 0 \\ \vdots & & \ddots & \ddots & & \ddots & \\ 0 & 0 & \cdots & h_k & h_{k-1} & \cdots & h_0 \end{bmatrix}$$

are the generator and parity-check matrices for  $C$ .

**Proposition 4.2.6.** Consider a re-expression of the generator matrix  $G$  as described above by:

$$\begin{aligned} x^{n-k} &= q_0g(x) + r_0(x) \\ x^{n-k+1} &= q_1(x)g(x) + r_1(x) \\ x^{n-k+2} &= q_2(x)g(x) + r_2(x) \\ &\vdots \\ x^{n-1} &= q_{k-1}(x)g(x) + r_{k-1}(x) \end{aligned} \quad G = \begin{bmatrix} x^{n-k} - r_0(x) \\ x^{n-k+1} - r_1(x) \\ x^{n-k+2} - r_2(x) \\ \vdots \\ x^{n-1} - r_{k-1}(x) \end{bmatrix}$$

Then the parity-check matrix can be re-written as:

$$H = [I_{n-k} \mid r_0(x) \quad r_1(x) \quad \cdots \quad r_k(x)] = [x^0 \pmod{g} \quad x^1 \pmod{g} \quad \cdots \quad x^{n-1} \pmod{g}] \in M_{(n-k) \times n}$$

## 4.3 Burst errors

**Definition 4.3.1.** A vector  $e \in V_n(\mathbb{F})$  has cyclic burst length  $\leq t$  if there exists a cyclic block of (at most)  $t$  contiguous positions such that every non-zero coordinate of  $e$  lies within the  $t$  positions.

**Theorem 4.3.2.** [RIEGER BOUND]

For  $C$  an  $(n, k)$ -code,  $C$  has burst error correction capability  $t \leq \frac{n-k}{2}$ .



**Theorem 4.3.3.** Given a cyclic  $(n, k)$ -code  $C$  with burst error correction capability  $t$  and a received vector  $r(x)$ , the syndrome of the sent code word is

$$s(x) = x^i r(x) \quad \text{such that} \quad i = \min\{i \mid x^i r(x) \pmod{g(x)} \text{ has every error in the first } t \text{ positions}\}$$

This is termed the error trapping method. Further, the error vector is

$$e(x) = x^{n-i} s(x) \pmod{x^n - 1}$$

**Definition 4.3.4.** A cyclotomic coset of  $q$  modulo  $n$  is a set of unique elements,

$$C_k = \{k \pmod{n}, qk \pmod{n}, q^2k \pmod{n}, \dots, q^{m_k}k \pmod{n}\} \quad \text{with} \quad 0 \leq k \leq q - 1$$

**Proposition 4.3.5.** With respect to the above, the irreducible monic polynomials of  $x^n - 1$  over  $\text{GF}(q)$  are

#### 4.4 BCH codes

**Definition 4.4.1.** Suppose  $\mathbb{F} = \text{GF}(q)$  and  $K = \text{GF}(q^m)$ , with  $\mathbb{F} \subset K$ . For any  $\alpha \in K$ , the minimal polynomial of  $\alpha$  over  $\mathbb{F}$  is the non-zero monic polynomial of smallest degree in  $\mathbb{F}[x]$  such that it has  $\alpha$  as a root.

The minimal polynomial is denoted  $m_\alpha(x)$ .

**Theorem 4.4.2.**

1.  $m_\alpha(x)$  is unique
2.  $m_\alpha(x)$  is irreducible
3.  $\deg(m_\alpha(x)) \leq m$
4. if  $f(x) \in \mathbb{F}[x]$  and  $f(\alpha) = 0$ , then  $m_\alpha \mid f$

**Corollary 4.4.3.** If  $f(x)$  is monic irreducible and  $f(\alpha) = 0$ , then  $f(x) = m_\alpha(x)$ .

**Lemma 4.4.4.** For any  $\alpha \in \text{GF}(q^m)$ ,  $\alpha \in \text{GF}(q) \iff \alpha^q = \alpha$ .

**Definition 4.4.5.** For  $\alpha \in \text{GF}(q^m)$ , the set of conjugates of  $\alpha$  over  $\text{GF}(q)$  is  $C(\alpha) = \{\alpha^{q^n} \mid n \in \mathbb{N} \cup \{0\}\}$ .

**Remark 4.4.6.** Suppose  $t$  is the smallest positive integer such that  $\alpha^{q^t} = \alpha$ . Note  $t \leq m$ . Then the elements of  $C(\alpha) = \{\alpha, \alpha^{q^1}, \dots, \alpha^{q^t}\}$  are all pairwise distinct.

**Theorem 4.4.7.** Let  $\alpha \in \text{GF}(q^m)$ . Then the minimal polynomial of  $\alpha$  over  $\text{GF}(q)$  is

$$\prod_{\beta \in C(\alpha)} (x - \beta) = (x - \alpha)(x - \alpha^{q^1}) \cdots (x - \alpha^{q^t})$$

**Theorem 4.4.8.** Given the following conditions:

- $$\left. \begin{array}{l} p \text{ prime, } k \in \mathbb{N}, q = p^k \\ n \text{ block length with } \gcd(n, q) = 1 \\ m \text{ such that } o(q) = m \text{ in } \mathbb{Z}_n \\ \alpha \in \text{GF}(q^m)^* \text{ a generator} \\ \beta \in \text{GF}(q^m) \text{ of order } n \text{ with } \beta = \alpha^{(q^m - 1)/n} \\ a, \delta \in \mathbb{Z}_n \end{array} \right\}$$

Then the code  $C$  generated by  $g(x)$  is a BCH code over  $\text{GF}(q)$  of block length  $n$  and designed distance  $\delta$ .

$$g(x) = \text{lcm}\{m_{\beta^i}(x) \in \text{GF}(q) \mid a \leq i \leq a + \delta - 2\}$$

**Theorem 4.4.9.** A BCH code of designed distance  $\delta$  has distance at least  $\delta$ .

## References

Vanstone, Scott A. and Paul C. van Oorschot. *An introduction to Error Correcting Codes with Applications*. Kluwer Academic Publishers: 1989